
CS 422/522 Design & Implementation
of Operating Systems

Lecture 21: Networking & Protocol Stacks

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Overview

- Introduction
 - History, Basic Concepts
- Networking Fundamentals
 - Layering, Internetworking, Addressing, Naming
- Internet Protocols
 - Link Layer Protocols, IP, Transport Protocols (TCP, UDP)
- Network Programming
 - BSD sockets

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What is the internet?

◆ History:

- 1960s: ARPAnet - Defense Advanced Research Projects Agency
 - * research project into packet switching networks
 - * wanted communications infrastructure capable of exploiting redundancy to route around damaged links

- 1970s: ARPA needed:
 - * A common OS for researchers with ARPA funding
 - * Technology to keep geographically dispersed ARPA researchers in contact with each other
 - ⇒ funding for BSD Unix project, Univ. of Calif. Berkeley

- 1980s: BSD Unix
 - * Included support for Internet network protocols (**TCP/IP**)

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What is the internet?

- ◆ The **Internet** is really a *network of networks* connecting millions of computing devices throughout the world.

- ◆ Each network is administered independently of all other networks
 - *There is no central authority running the Internet.*

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Internet model

- ◆ The Internet is a **packet-switched** network.
- ◆ All data transmission is broken into chunks (**packets**).
- ◆ Each packet contains:
 - the data to be transmitted (the payload)
 - identification of the packet's source and destination
- ◆ The key points to understand about packet switching are:
 - Each packet is processed independently of all other packets.
 - There is no need to set up a "connection" or "circuit" with another node before sending it a packet.

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The internet protocols

- ◆ The Internet works because all **host computers** connected to the Internet adhere to a set of **Internet Standards**. These standards specify the **protocols** used for communication across the network.
- ◆ The particular protocols used for the Internet are alternatively called the **Internet Protocols**, or just **TCP/IP**.
 - **TCP** stands for **Transmission Control Protocol**
 - **IP** stands for **Internet Protocol**
- ◆ TCP and IP are just two specific protocols, but they are so important that **TCP/IP** is used to refer to all Internet protocols.

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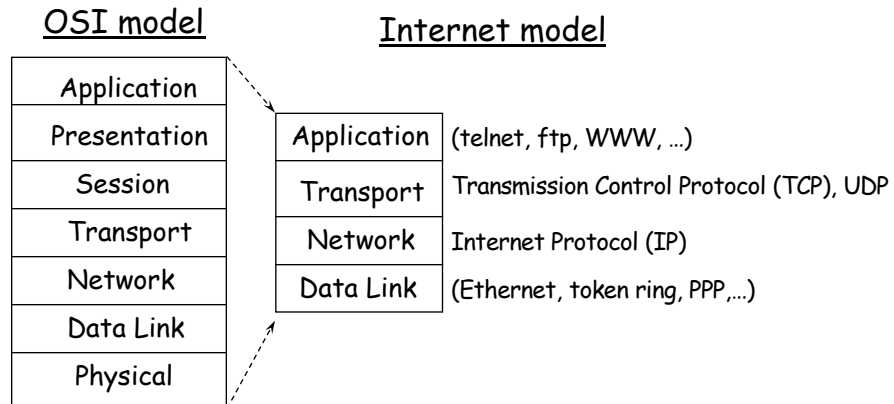
The OSI seven-layer networking model

<u>Layer Name</u>	<u>Description</u>
Application	Specific application (file transfer, remote login, etc.)
Presentation	Data formatting and conversion (e.g. byte-swapping)
Session	Long-lived "virtual connection" primitives
Transport	Reliable or unreliable end-to-end data delivery
Network	Packet routing through intermediate hosts
Data Link	Controls physical link between two endpoints
Physical	Electrical signals over physical media

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TCP/IP and the OSI seven-layer model

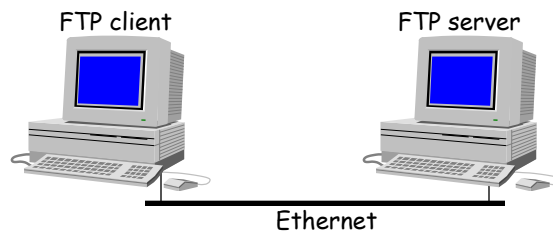
- ◆ TCP/IP based on a simplified form of OSI model:



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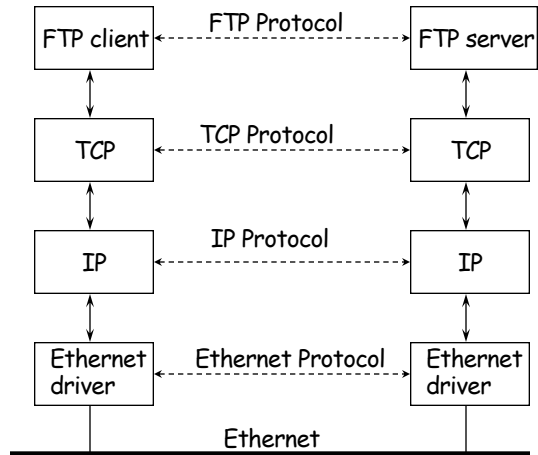
An illustrative example: file transfer

- ◆ We want to transfer a file between two hosts directly connected by a Local Area Network (LAN):



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Logical view of connectivity



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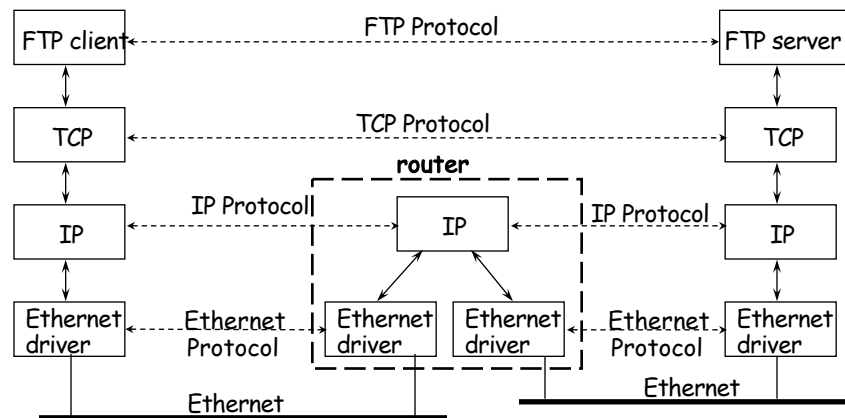
Internetworking

- ◆ Earlier, we noted that *the Internet* is not One HUGE network, but instead is really a *network of networks*.
- ◆ This raises the questions:
 - How are multiple networks interconnected?
 - What is the logical view of cross-network connectivity?

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Routing

- ◆ Multiple networks are linked together by **routers** - special hosts with multiple network connections:



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Routing, cont.

- ◆ Items to note from this diagram:
 - The **router** has multiple **network interfaces** (physical network connections). Hosts with multiple network interfaces are said to be **multi-homed**.
 - A router has a distinguished role as a host because it will **forward** IP packets across network boundaries.
 - **All internetworking is done below the level of IP**; In fact, TCP and FTP don't even know that multiple networks are involved!

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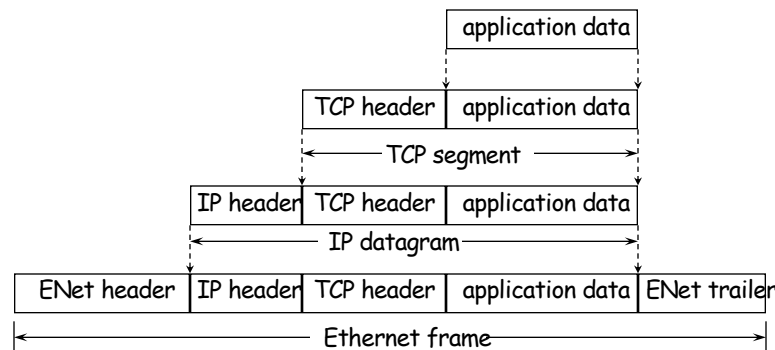
Network layering revisited

- ◆ In the previous example, FTP is “above” TCP which is “above” IP, which is “above” the Ethernet driver.
- ◆ **Q:** What does this mean from an implementation point of view?
- ◆ **A:** Each **network layer** may only interact with layers located directly above and below it in the protocol stack.
 - Each network layer provides a well-defined set of services to the layers above and below it through an **Application Programmer Interface (API)**.
 - The only network API directly accessible to user programs is the **sockets API** for transport-layer access. Lower-level APIs are in the OS kernel.

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Encapsulation and demultiplexing

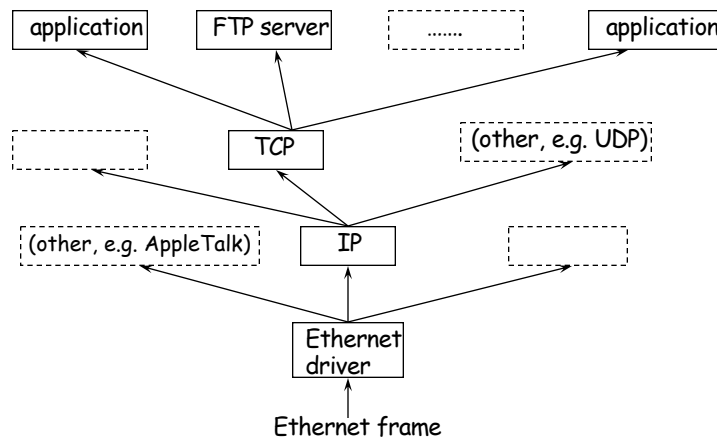
- ◆ A network layer makes use of layers beneath it through **encapsulation**:



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Encapsulation and demultiplexing

- ◆ In the reverse direction, frames are passed from lower to higher layers by **demultiplexing**:



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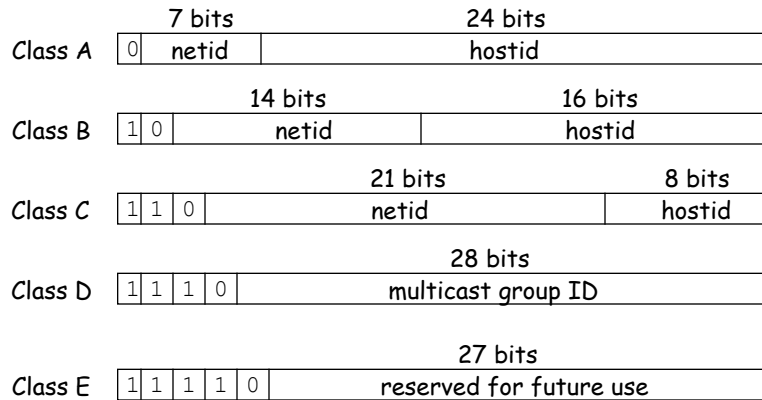
IP Addressing

- ◆ Every host machine connected to the Internet has a globally unique 32-bit **IP Address**.
- ◆ IP Addresses are usually written in **dotted-decimal** notation, e.g.:
128.3.196.93
- ◆ Each number is one byte of the IP address, **MSB** first.
- ◆ Blocks of IP addresses are given to organizations by the **InterNIC**, a central authority.

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IP Addressing, cont.

- ◆ There are five different classes of Internet address:



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IP Addressing, cont.

- ◆ Certain IP addresses are assigned a “special” meaning:
- ◆ **netid = 127:**
 - Always refers to *loopback* interface on local host. Try the command `telnet localhost` from any Unix system to verify this.
- ◆ **netid = (all 1 bits), hostid = (all 1 bits):**
 - Limited broadcast to all hosts on directly connected network.
- ◆ **netid = (some valid network ID), hostid = (all 1 bits):**
 - net-directed broadcast to all hosts on specified network.
- ◆ These broadcast addresses are primarily useful for locating configuration information on the local net during booting.

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Naming of internet hosts

- ◆ IP Addresses are not particularly convenient for humans.
- ◆ Therefore, each host may be assigned a **hostname** - a friendly, string “name” identifying the host.
- ◆ For example, the hostname:
 george.lbl.gov
- ◆ maps to the IP address:
 128.3.196.93

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The Domain Name System (DNS) - motivation

- ◆ Originally, the mapping from host names to IP addresses was administered through a single flat file:
 /etc/hosts.
 - one entry for every host on the Internet(!)
 - one “master copy” administered at a central site; periodically copied by local sys. admins.
- ◆ This approach was rife with problems:
 - **scalability** - An /etc/hosts file in 1996 would be prohibitively large.
 - **administrative autonomy** - Individual sites had to register with the NIC every time they wanted to name a machine!
- ◆ To address these problems, the **Domain Name System (DNS)** was devised.

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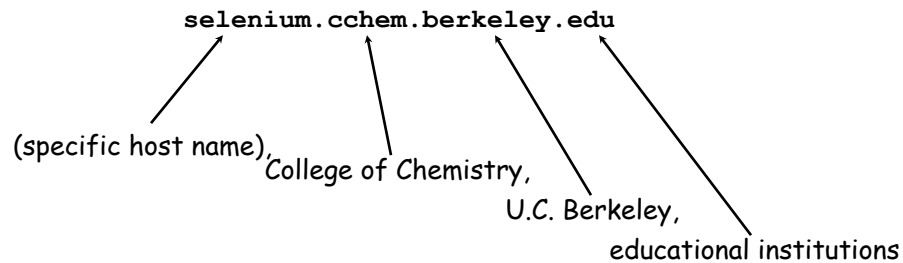
DNS - Concepts

- Each **hostname** is now called a **domain name**.
- The DNS is implemented as a *distributed database*:
 - No flat `/etc/hosts` file
 - Individual sites handle their own name registration
 - Individual sites provide information to other sites about domain names they are responsible for administering
- The DNS contains more than just hostname to IP address mapping:
 - MX records - information about how/where to deliver email
 - PTR records - map IP addresses back to host names

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DNS, cont.

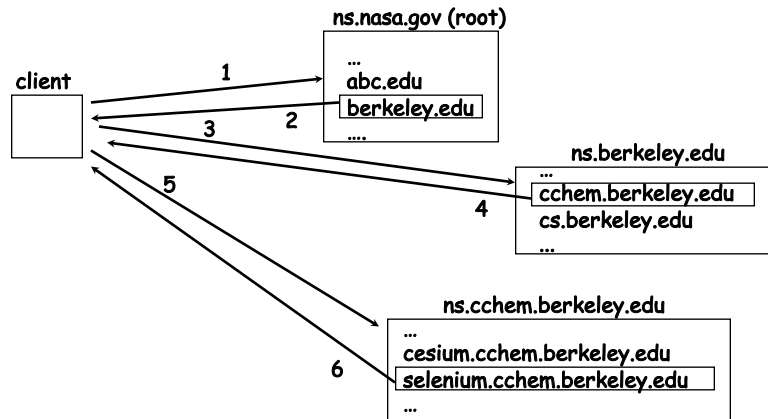
- ◆ The DNS **namespace** is organized and administered hierarchically.
- ◆ A domain name is really a sequence of components, which become increasingly general from left to right.
- ◆ Example:



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DNS - name resolution

- ◆ The process of looking up a domain name to address mapping is called **name resolution**. Name resolution happens recursively:



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Internet Protocols -- The Link Layer

Application	(telnet, ftp, WWW, ...)
Transport	Transmission Control Protocol (TCP), UDP
Network	Internet Protocol (IP)
Data Link	(Ethernet, token ring, PPP,...)

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The link layer

- ◆ The **Link Layer** refers to the software directly responsible for a physical link.
 - This is generally found in the driver for a particular piece of hardware (e.g. the Ethernet driver).
- ◆ The link layer is highly dependent on the network hardware being used.
- ◆ There are different link layer standards for **Ethernet**, **RS-232 serial link**, and **token ring** hardware.

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Link layer encapsulation

- ◆ There are standards which specify the exact format of link layer frames, as well how IP datagrams are placed in such frames.
- ◆ For example, the Ethernet link layer standard specifies that the `type` field in an Ethernet frame for an IP datagram shall be set to the value `0x800`.
- ◆ Link layer standards for serial links (such as **SLIP** and **PPP**) specify special framing bytes to place around IP datagrams before transmission.

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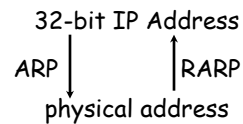
Link layer addressing

- ◆ Some data link technologies provide their own form of addressing. Such addresses are called **physical addresses**.
- ◆ For example, every Ethernet card has a unique, 6-byte **Ethernet address** pre-assigned by the manufacturer.
- ◆ We need some mechanism for translating between link-layer physical addresses and 32-bit IP addresses.
- ◆ To address this problem, the **Address Resolution Protocol (ARP)** and **Reverse Address Resolution Protocol (RARP)** were devised.

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ARP and RARP

ARP and RARP translate between physical addresses and IP addresses:



◆ Key Points:

- ARP and RARP work by broadcasting a query on the local network.
- ARP and RARP are network-layer protocols, in parallel to IP in layering diagrams.
- RARP is only used by a machine at boot-time to discover its own IP address.

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Internet Protocols - The Network Layer

Application	(telnet, ftp, WWW, ...)
Transport	Transmission Control Protocol (TCP), UDP
Network	Internet Protocol (IP)
Data Link	(Ethernet, token ring, PPP,...)

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Internet protocol - basic concepts

- ◆ IP is a **stateless, connectionless, unordered, unreliable** protocol. More sophisticated facilities such as logical connections and reliability are provided by higher layers.
- ◆ Internet hosts communicate by exchanging IP **datagrams**.

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IP - basic concepts

- ◆ IP is **stateless** - hosts do not retain any information at the level of IP about previous transmissions.
- ◆ IP is **connectionless** - a datagram may be sent from one node to another without first “opening a connection” to the node.
- ◆ IP is **unordered** - packets may arrive at their destination in a different order than they were sent.
- ◆ IP is **unreliable** - packets may be dropped or corrupted.

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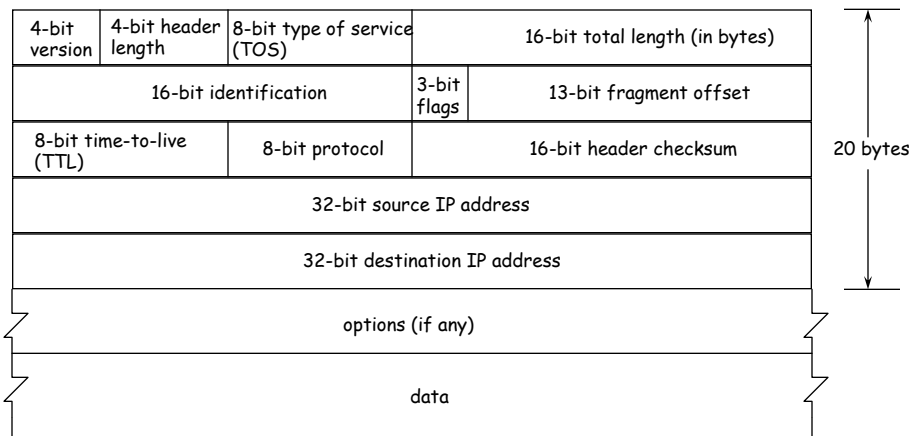
IP - reliability (or lack thereof):

- ◆ IP is a *best-effort* delivery service. Packets may be:
 - corrupted,
 - duplicated,
 - reordered, or
 - dropped
 en route from their source to their destination.

- ◆ Higher-level protocols (e.g. TCP) must be prepared to deal with all of these possibilities.
 - Techniques: acknowledgement/retransmission, data checksum, sequence numbers

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Format of an IP datagram



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IP datagram format - notes

- ◆ 16-bit *header size* limits max IP datagram size to 64KB
- ◆ *TTL* limits number of routers a datagram may traverse
 - decremented by 1 every time packet forwarded by some router
- ◆ *header checksum* calculated over IP header only
- ◆ *identification* field uniquely identifies each datagram sent by a host
- ◆ *options* are rarely used, but provided for things like:
 - recording routes (with severe size limitations)
 - loose/strict source routing

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The Internet Protocols - Transport Layer

Application	(telnet, ftp, WWW, ...)
Transport	Transmission Control Protocol (TCP), UDP
Network	Internet Protocol (IP)
Data Link	(Ethernet, token ring, PPP,...)

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TCP - basic concepts

- ◆ TCP is a transport-layer protocol layered on top of IP. TCP provides a **connection-oriented, two-way, ordered, reliable, byte-stream** model of communication.
- ◆ IP provides *none* of the above services, so all of this functionality is found in the TCP protocol.

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TCP - basic concepts

- ◆ TCP is **connection-oriented**. A logical connection must be established before communication begins.
- ◆ TCP is **ordered** - data is delivered to a receiving application in the order it was transmitted by the sender.
- ◆ TCP is **reliable** - Retransmissions and acknowledgements are used to ensure that all data arrives at the destination. Checksums are used to ensure that data is not corrupted in transit.
- ◆ TCP presents a **byte-stream** model - data may be delivered in different-sized chunks than it was transmitted.

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TCP addressing - port numbers

- ◆ Every host has an IP address which identifies that host.
- ◆ We would like to support more than one simultaneous transport connection per host. TCP uses a 16-bit **Port Number** to distinguish different connections.
- ◆ Certain port numbers are reserved for specific applications:

21	ftp (file transfer protocol)
23	telnet (remote login service)
25	SMTP (electronic mail)
80	http (World Wide Web)
- ◆ We use the pair (IP Address, port number) to identify a particular endpoint for communication.

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TCP - implementation

- ◆ The model TCP supports is very different from the model IP supports.
- ◆ TCP must therefore do all the work required to **provide a reliable service over an unreliable network**:
 - TCP breaks data to be sent into optimally sized **segments**. Each segment is assigned a monotonically increasing **sequence number**.
 - When TCP sends a segment, it sets a **retransmit timer**.
 - TCP periodically sends **acknowledgements** indicating the highest sequence number it has received.
 - If the retransmit timer expires before an acknowledgement is received, TCP sends the segment again.
 - The sequence numbers can also be used for **duplicate suppression** and **ordering**.

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Aside: User Datagram Protocol (UDP)

- ◆ In addition to TCP, there is another transport-layer protocol called **UDP (User Datagram Protocol)**.
- ◆ UDP provides an unreliable, unordered datagram delivery service, much like IP. In fact, each UDP datagram results in the transmission of exactly one IP datagram.
- ◆ UDP adds only two things to IP:
 - Port Numbers** - like TCP, UDP supports port numbers in order to allow multiple applications to use UDP simultaneously.
 - * N.B. Unlike TCP, however, UDP is *connectionless*.
 - Checksums** - An end-to-end checksum offers a minimal guarantee that data received wasn't corrupted in transit.

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UDP, Cont.

- ◆ **Q:** Given the availability of TCP, why would anyone want to use UDP?
- ◆ **A:** The facilities provided by TCP impose a certain overhead on communications. Certain applications either
 - don't need the extra facilities TCP provides, or
 - don't find the overhead acceptable.
- ◆ **Example:** soft real-time traffic, such as audio or video.
 - Minimizing delay is much more important than getting every bit perfect.
 - If loss is rare, user probably won't notice the loss.

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Network programming

- ◆ Application programmers can use a number of different techniques to write applications for the Internet:
 - **BSD sockets** - a transport-layer API which originated in Berkeley UNIX, now found on nearly every major platform.
 - **TLI (Transport Layer Interface)** - another transport-layer API which originated in AT&T's System V UNIX.
 - * more complex interface than sockets, without much benefit
 - **RPC (Remote Procedure Call):**
 - * At a "higher level" than straight sockets
 - * attempts to add structure to network communication, and achieve integration with application programming language

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BSD sockets - the bare essentials

- ◆ A **socket** is a network communication endpoint, obtained through the `socket()` system call.
- ◆ The return value from `socket()` is also a Unix *file descriptor*, and may be passed to `read()`, `write()`, `select()`, `fcntl()` and `close()` just like any other fd.
- ◆ There are also socket-specific system calls, such as:

<code>bind()</code>	binds a socket to a specific port
<code>connect()</code>	establishes a remote connection
<code>sendto()</code>	sends a datagram to a given address
<code>accept()</code>	accepts an incoming connection

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Sockets programming example

- ◆ A very simple `echo` server:
 - accepts a connection
 - reads a line of input from the connection
 - writes the line back to the connection
 - closes the connection

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Echo Server - code

```
#include "echosrv.h" /* standard socket includes */
#define BUFSIZE 256
#define ECHOPORT 12345
int main(int argc, char *argv[])
{
    int servfd, clifd;          /* server and client fd's */
    struct sockaddr_in serv_addr, cli_addr;
    int cliilen, servlen, nbytes;
    char buf[BUFSIZE];

    /* create a server socket */
    if ((servfd=socket(AF_INET, SOCK_STREAM, 0)) < 0) {
        perror("socket");
        exit(1);
    }

    /* bind our local address to the echo port */
    memset(&serv_addr, 0, sizeof(serv_addr));
    serv_addr.sin_family=AF_INET;
    serv_addr.sin_addr.s_addr=htonl(INADDR_ANY);
    serv_addr.sin_port=htons(ECHOPORT);

    if (bind(servfd, (struct sockaddr *) &serv_addr, sizeof(serv_addr)) < 0) {
        perror("bind");
        exit(1);
    }
}
```

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Echo Server, cont...

```
/* allow up to 5 pending connections */
listen(servfd, 5);

while (1) {
    /* accept a new connection in clifd */
    if ((clifd=accept(servfd, (struct sockaddr *) &cli_addr,
                     &cliilen)) < 0) {
        perror("accept");
        exit(1);
    }
    printf("server accepted connection...\n");

    /* read a line of input */
    if ((nbytes=read(clifd, buf, BUFSIZE)) < 0) {
        perror("read");
        goto done;
    }
    /* echo line back to client */
    (void) write(clifd, buf, nbytes);

done:
    (void) close(clifd);
}
}
```

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